

Variational and topological properties of n -body minimizers

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Dynamics, Topology and Computations

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- 1 Periodic solutions of the n -body problem
- 2 Symmetries
- 3 Computer algebra and symmetries
- 4 Numerical minimization
- 5 Examples
- 6 Concluding remarks

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1. The n -body problem

Consider $n > 1$ material points in a euclidean space \mathbb{R}^d , with masses $m_i \geq 0, i = 1 \dots n$, and mutual interaction potential $U(x)$, where $x = (x_1, x_2, \dots, x_n)$ and $x_i \in \mathbb{R}^d$.

Hypotheses on $U(x)$ may be quite general [1], but the basic example is given by homogeneous isotropic potentials of the type

$$U_\alpha(x) = \sum_{\substack{i < j \\ i, j = 1 \\ i, j = 1}}^n \frac{m_i m_j}{|x_i - x_j|^\alpha}.$$

- [1] V. Barutello, DLF, and S. Terracini. On the singularities of generalized solutions to n -body-type problems. *Int. Math. Res. Not. IMRN*, pages Art. ID rnn 069, 78, 2008.

1. (The n -body problem)

Newton equations of the motion are (m = mass matrix and corresponding gradient ∇):

$$m\ddot{x} = \frac{\partial U}{\partial x} \iff \ddot{x} = \nabla U.$$

Regular solutions can be defined for all $t \in \mathbb{R}$, or in bounded intervals due to the occurrence of **singularities**: collisions ($x(t) \rightarrow \Delta$, where $\Delta = \{x : \exists(i, j) : x_i = x_j, i \neq j\}$, $U(\Delta) = +\infty$) or Xia escapes to infinity in finite time.

- [2] Z. Xia. The existence of non collision singularities in newtonian systems. *Ann. of Math.*, 135:411–468, 1992.
- [3] F. Diacu. Singularities of the N -body problem. In *Classical and celestial mechanics (Recife, 1993/1999)*, pages 35–62. Princeton Univ. Press, Princeton, NJ, 2002.

2. Lagrangian action

Solutions are critical points for the Lagrangean action functional, defined by

$$\mathcal{A}(x) = \int_{t_0}^{t_1} L(x(t), \dot{x}(t)) dt$$

where (in rotating coordinate frames)

$$L(x, \dot{x}) = K + U = \sum_i \frac{1}{2} m_i |\dot{x}_i + \Omega x_i|^2 + \sum_{i < j} \frac{m_i m_j}{|x_i - x_j|^\alpha}.$$

Here $x = x(t) \in H^1([t_0, t_1], \mathcal{X})$, $t_0 < t_1 \in \mathbb{R}$.

Periodic solutions are critical points for

$$\mathcal{A}_\omega = \mathcal{A}: H^1(\mathbb{R}/T\mathbb{Z}, \mathcal{X}) \rightarrow \mathbb{R} \cup \infty.$$

- [4] A. Ambrosetti and V. Coti Zelati. *Periodic solutions of singular Lagrangian systems*. Progress in Nonlinear Differential Equations and their Applications, 10. Birkhäuser Boston Inc., Boston, MA, 1993.

3. Action minimizers: coercivity and collisions

The action functional

$$\mathcal{A}_\omega: H^1(\mathbb{R}/T\mathbb{Z}, \mathcal{X}) \rightarrow \mathbb{R} \cup \infty.$$

is not *coercive*, for all ω such that $\omega/2\pi \in \mathbb{Z}$, otherwise it is coercive. To obtain coercivity: take $\omega \notin 2\pi\mathbb{Z}$, winding-number constraints, “topological” (homotopy class) constraints braid group, add anti-symmetry $x(t + T/2) = -x(t), \dots$

$$\text{Coercive: } \lim_{|x| \rightarrow \infty} \mathcal{A}(x) = +\infty$$

$|x| = H^1$ -norm

- [5] William B. Gordon. A minimizing property of Keplerian orbits. *Amer. J. Math.*, 99(5):961–971, 1977.

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4. Symmetries and Palais symmetric criticality

Let G be a finite (compact Lie) group, acting linearly on X (Banach space), and $f: X \rightarrow \mathbb{R}$ a G -equivariant functional. If $x \in X$ is a critical point for

$$f^G: X^G \subset X \rightarrow \mathbb{R}$$

then x is a critical point for f .

Subspace of points fixed by G : $X^G = \{x \in X : Gx = x\}$, that is, symmetric (i.e. G -equivariant) periodic trajectories in $X = H^1(\mathbb{R}/T\mathbb{Z}, \mathcal{X})$, where

$$(g \cdot x)(t) = gx(g^{-1}t).$$

- [6] R.S. Palais. The principle of symmetric criticality. *Comm. Math. Phys.*, 69(1):19–30, 1979.

4. (Symmetries and Palais symmetric criticality)

For many groups G on $X = H^1(\mathbb{R}/T\mathbb{Z}, \mathcal{X})$:

- (1) $\mathcal{A}^G: X^G \rightarrow \mathbb{R}$ is coercive (e.g. $x(t + T/2) = -x(t)$).
- (2) X^G has better topology (e.g. finite rotation groups in the euclidean space).
- (3) Local minimizers of \mathcal{A}^G are collisionless.
- (4) Properties of minimizers can be deduced by algebraic properties of the G -action (angular momentum, being non-homographic, colliding solutions, ...).
- (5) More equal masses, more possible symmetries.

[7] DLF and S. Terracini. On the existence of collisionless equivariant minimizers for the classical n -body problem. *Invent. Math.*, 155(2):305–362, 2004.

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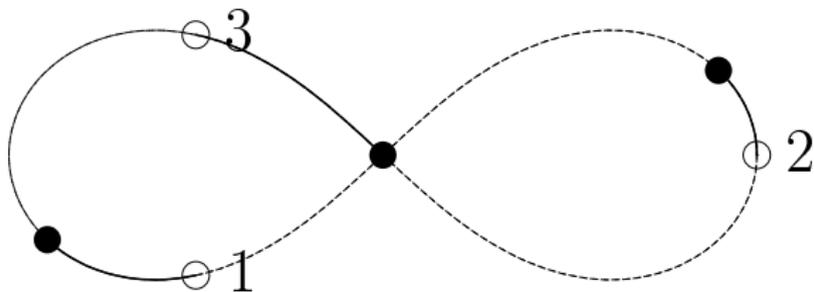
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5. Well-known example



A. Chenciner and R. Montgomery's Figure-eight. [▶](#).

- [8] Alain Chenciner and Richard Montgomery. A remarkable periodic solution of the three-body problem in the case of equal masses. *Ann. of Math. (2)*, 152(3):881–901, 2000.
- [9] Carles Simó. New families of solutions in N -body problems. In *European Congress of Mathematics, Vol. I (Barcelona, 2000)*, volume 201 of *Progr. Math.*, pages 101–115. Birkhäuser, Basel, 2001.
- [10] Christopher Moore. Braids in classical dynamics. *Phys. Rev. Lett.*, 70(24):3675–3679, 1993. (*handwritten picture*)

6. Action types

The left action of G on $X = H^1(\mathbb{T}^1, \mathcal{X})$ induces an ascending chain of subgroups

$$K \triangleleft G_0 \triangleleft G$$

where:

- (1) The *core* $K = \{g \in G : \forall t \in \mathbb{T}^1, gt = t\}$. $\implies G/K$ acts faithfully on \mathbb{T}^1 , and hence it is a finite subgroup of $O(2)$.
- (2) The *cyclic* part $G_0 = \{g \in G : \forall t \in \mathbb{T}^1, gt \neq t\} \cup K$.

- [11] V. Barutello, DLF, and S. Terracini. Symmetry groups of the planar three-body problem and action-minimizing trajectories. *Arch. Ration. Mech. Anal.*, 190(2):189–226, 2008.
- [12] DLF. Symmetry groups and non-planar collisionless action-minimizing solutions of the three-body problem in three-dimensional space. *Arch. Ration. Mech. Anal.*, 179(3):389–412, 2006.

6. (Action types)

For all times t and all $g \in K$, the configuration $x(t)$ is fixed by g , since $x(gt) = x(t) = gx(t)$, hence the real configuration space is $\mathcal{X}^K \subset \mathcal{X}$, and hence

$$X^K \cong H^1(\mathbb{T}^1, \mathcal{X}^K), \quad X^G = \left(X^K\right)^{G/K};$$

the quotient group G/K acts on X^K , and so that by replacing the configuration space X with X^K one is left with the action (cyclic or dihedral) of $G/K \subset O(2)$ on X^K .

Action of cyclic/brake/dihedral type according to G/K . In any case, at most 2 generators.

Examples:

- (1) Collinear/planar n -body problem.
- (2) Isosceles, rhomboidal, tetrahedral, multiple binaries, platonic, ...

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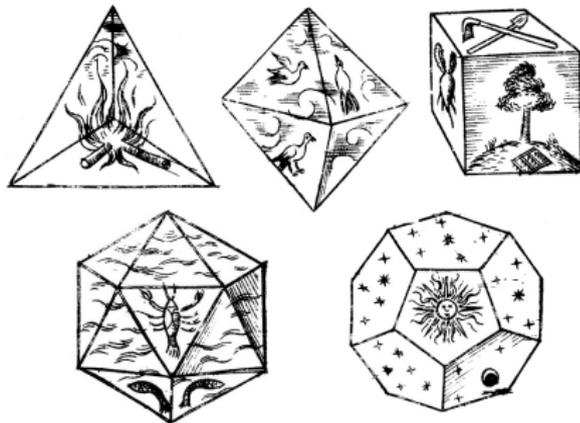
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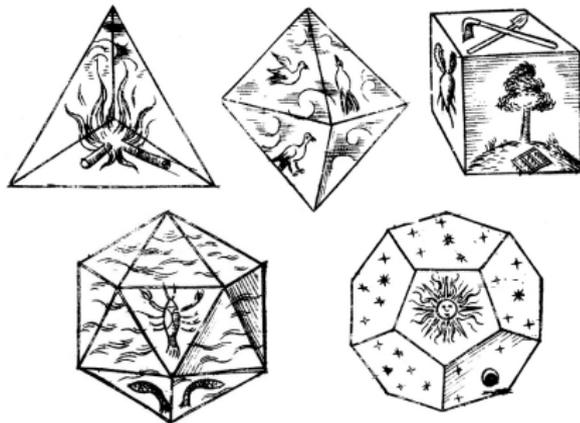
7. Non-trivial cores (finite subgroups of $SO(3)$)

- (1) Tetrahedral group of order 12 [▷].
- (2) Octahedral group of order 24 [▷].
- (3) Icosahedral group of order 60 [▷].
- (4) Dihedral group of order $2k$: $4B$ [▷], $6B$ [▷].
- (5) Cyclic group (rotation).
- (6) Not $SO(3)$: Prism/antiprism $4B$ [▷], $6B$ [▷]. Antipodal (group of order 2).



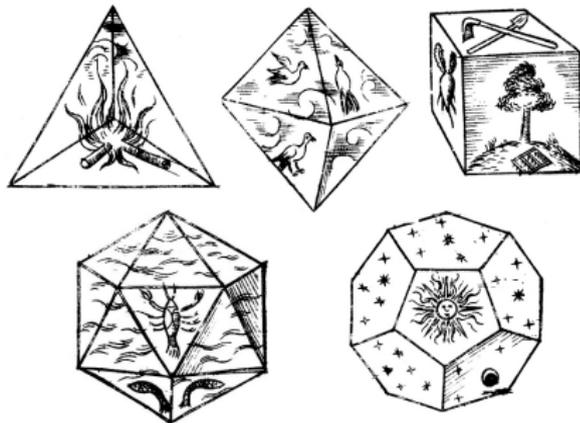
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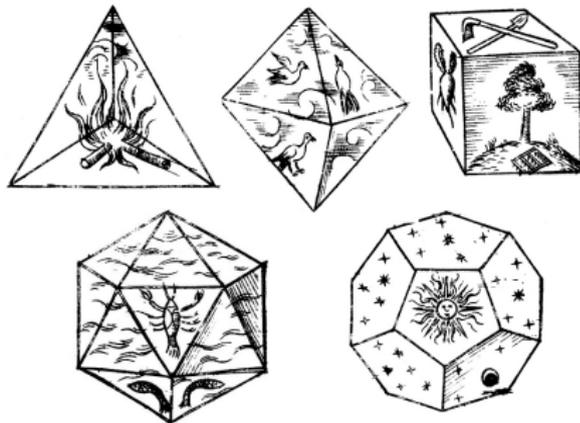
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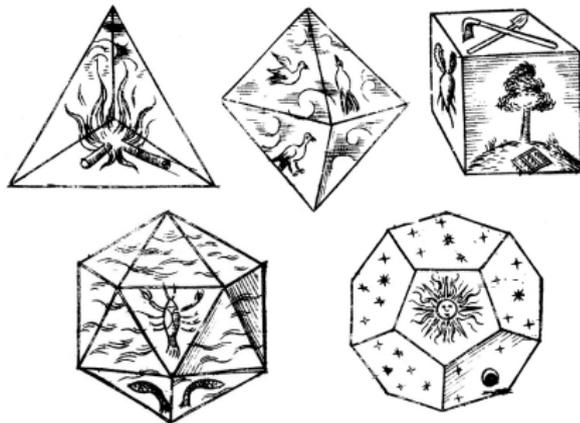
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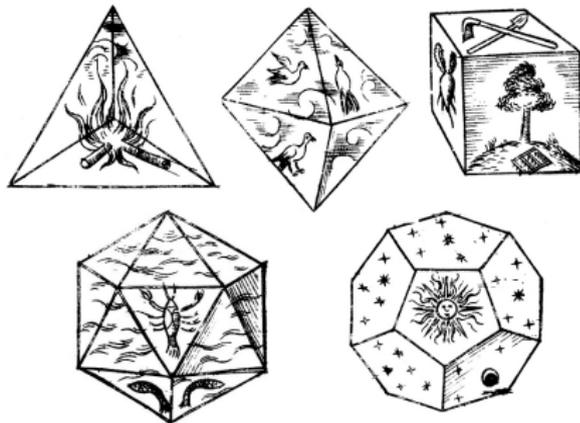
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8. Action on paths

If G is of cyclic type:

$$X^G \cong \left\{ x: [0,1] \rightarrow \mathcal{X}^K : x(1) = gx(0) \right\}$$

where gK is the generator of the cyclic part $G_0/K \subset G/K$ and (up to rescaling) $[0,1]$ is the fundamental domain in \mathbb{T}^1 of the G -action.

If G is of dihedral type:

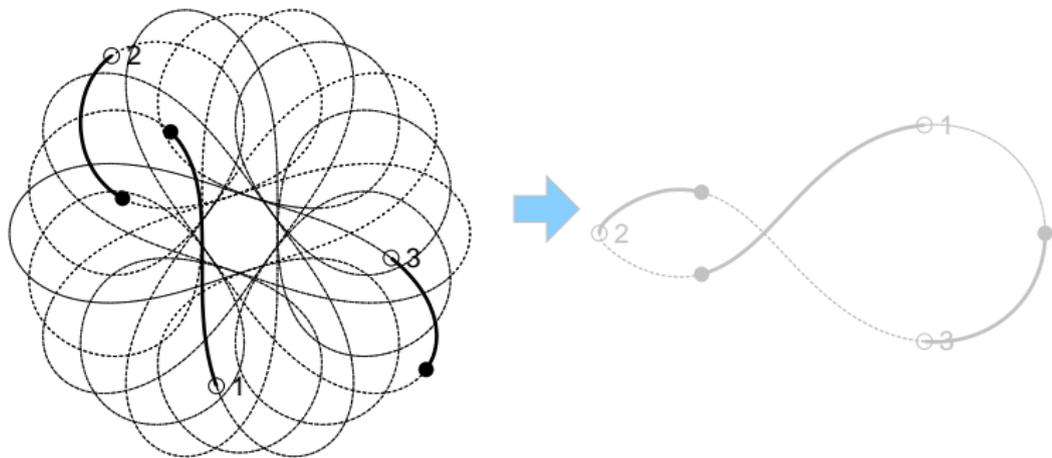
$$X^G \cong \left\{ x: [0,1] \rightarrow \mathcal{X}^K : h_0x(0) = x(0), h_1x(1) = x(1) \right\}$$

where h_0K and h_1K are two consecutive generators of order 2 of the dihedral group G/K . Hence if $Y_0 \subset \mathcal{X}^K$ and $Y_1 \subset \mathcal{X}^K$ are the linear subspaces fixed by h_0K and h_1K ,

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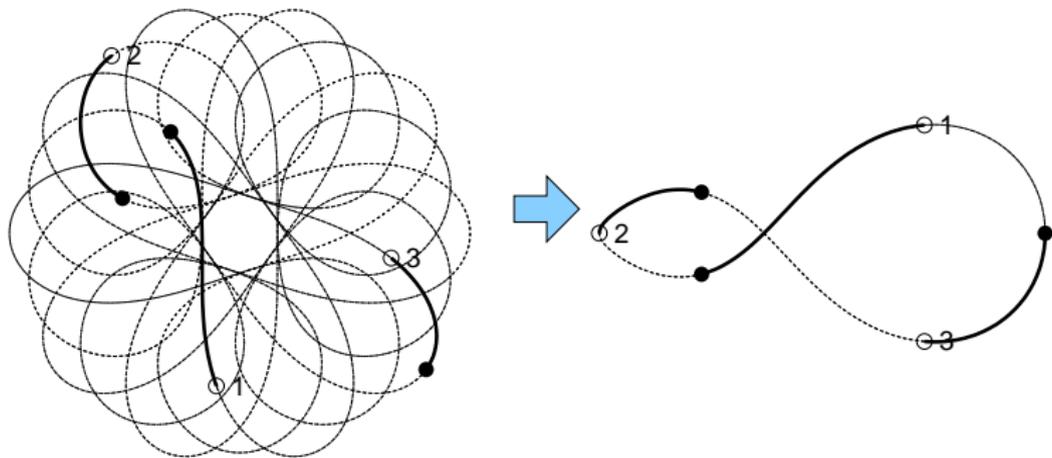
9. Reductions: rotating frames

A simplification in the order of G/K can be performed by a simple change of variables (uniformly rotating frame) [8] and [9]. Whenever this is possible (a simple condition on the action, of “rotating type” or not), the order of the cyclic part G_0/K can be reduced to be at most the number of bodies.



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10. Reductions: transitive decomposition

The group G acts on the set of indices $\mathbf{n} = \{1, 2, \dots, n\}$ by permutations, and hence \mathbf{n} can be decomposed as disjoint sum of G -orbits

$$\mathbf{n} \approx \frac{G}{H_1} + \frac{G}{H_2} + \dots + \frac{G}{H_l}$$

on which the action is transitive (and with equal masses). The isotropy groups H_i hence determine the permutations on \mathbf{n} , and groups with transitive action can be considered as *building blocks* for general symmetry groups.

- (1) Union of different choreographies (planar, satellite constellations, ...).
- (2) Multiple binaries, multiple copies of the same K , ...

[13] DLF. Transitive decomposition of symmetry groups for the n -body problem. *Adv. Math.*, 213(2):763–784, 2007.

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11. CAS and symmetry groups

Elements of the core $K \subset G$: represented as $(A, \sigma) \in O(d) \times \Sigma_n$, where $A \in O(d)$ is an orthogonal matrix and $\sigma \in S_n$ is a permutation of $\mathbf{n} = \{1, 2, \dots, n\}$. Hence K can be generated by a list of generators

$$[(A_1, \sigma_1), (A_2, \sigma_2), \dots, (A_k, \sigma_k)].$$

The action on $\mathcal{X} \subset (\mathbb{R}^d)^{\mathbf{n}}$ is given by

$$((A, \sigma) \cdot x)_i = Ax_{\sigma^{-1}(i)}$$

$$(A, \sigma) \cdot (x_1, \dots, x_n) = (Ax_{\sigma^{-1}(1)}, Ax_{\sigma^{-1}(2)}, \dots, Ax_{\sigma^{-1}(n)})$$

- [14] The GAP Group, *GAP – Groups, Algorithms, and Programming, Version 4.4.12*; 2008, (<http://www.gap-system.org>).

11. (CAS and symmetry groups)

Hence G (if G is of cyclic type) can be generated by adding to the generators of K a minimal time translation, encoded as

$$g = (A, \sigma) \in O(d) \times S_n$$

where (A, σ) is in the normalizer of K in $O(d) \times S_n$.

If G is of dihedral type, then it is necessary to add both the minimal time translation and the time reflection h_0 , i.e. a pair $(H, \sigma') \in O(d) \times S_n$ such that $h_0^2 \in K$ and h_0 is in the normalizer of G_0 . The two generators of G/K h_0K and h_1K will be given by h_0K and gh_0K .

In other words, the action of G can be encoded by the list of generators of K , a representative of the cyclic generator of G_0/K and a representative for a time reflection.

12. Transitive actions

The permutations σ_i can be generated by the permutation representation of K (by multiplication). If the isotropy subgroups are trivial, it turns out to be very effective: consider the matrix group generated by A_1, \dots, A_k , then $\sigma_1, \dots, \sigma_k$ are nothing but the images of A_i in S_n by the permutation representation of K . For example, if p and q denote two 3×3 matrices generating the icosahedral group $Y \subset SO(3)$, the corresponding elements s_1 and s_2 in S_{60} can be computed as follows.

```
Y:=GroupWithGenerators([p,q]);  
hom:=ActionHomomorphism(Y,Y,OnRight);  
s1:=Image(hom,p); s2:=Image(hom,q);  
kert:=GroupWithGenerators([ Tuple([p,s1]), Tuple([q,s2]) ] );
```

$$\begin{aligned} \implies s_1 &= (1, 11, 13)(2, 12, 14)(3, 29, 38)(4, 30, 37)(5, 54, 42)(6, 53, 41)(7, 31, 45) \\ &\quad (8, 32, 46)(9, 56, 49)(10, 55, 50)(15, 27, 17)(16, 28, 18)(19, 34, 39)(20, 33, 40) \\ &\quad (21, 57, 43)(22, 58, 44)(23, 36, 48)(24, 35, 47)(25, 59, 52)(26, 60, 51) \\ s_2 &= (1, 49, 46)(2, 50, 45)(3, 32, 48)(4, 31, 47)(5, 14, 9)(6, 13, 10)(7, 52, 36) \dots \end{aligned}$$

14. Methods and properties

- (1) **Coercivity:** $\dim \mathcal{X}^G = \frac{1}{|G|} \sum_{g \in G} \text{Tr}(\rho(g)) \# \text{Fix}(\sigma(g)) - d$, where $\rho: G \rightarrow O(d)$ and $\sigma: G \rightarrow S_n$. Since coercivity is equivalent to $\dim \mathcal{X}^G = 0$, it can be computed from matrices and permutations.
- (2) **Collisions:** Beside general theorems on local/global existence of collisionless minimizers: *a-priori* does the symmetry group *force* collisions (that is, all trajectories are bound to collide)? Can collisions be avoided? Methods include: HasAlwaysCollisions, Rotating circle property, being finite rotation group, ... which might be easy to implement or very difficult (homology of complements).
- (3) **Rotating frame:** It is possible to find *rotation axes* in the euclidean space, that can be used to change coordinates and reduce the group order.
- (4) **Transitive decomposition:** A very easy method on transitivity for permutation groups, and generator of permutation representations of matrix groups.

14. Methods and properties

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- (2) **Collisions:** Beside general theorems on local/global existence of collisionless minimizers: *a-priori* does the symmetry group *force* collisions (that is, all trajectories are bound to collide)? Can collisions be avoided? Methods include: HasAlwaysCollisions, Rotating circle property, being finite rotation group, ... which might be easy to implement or very difficult (homology of complements).
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15. Numerical minimization

Paths are elements of

$$X^G \cong \left\{ x: [0,1] \rightarrow \mathcal{X}^K : x(1) = gx(0) \right\}$$

$$X^G \cong \left\{ x: [0,1] \rightarrow \mathcal{X}^K : h_0x(0) = x(0), h_1x(1) = x(1) \right\}$$

Hence, assuming $K = 1$, after the projection

$$x \in \mathcal{X} \mapsto \mathcal{X}^K \ni \frac{1}{|K|} \sum_{g \in K} gx$$

the space X^G can be seen as a linear subspace of

$$H^1([0,1], \mathcal{X}) \cong \mathcal{X} \times H^1(\mathbb{T}_0^1, \mathcal{X}) \times \mathcal{X}$$

by

$$x(t) \mapsto (x(0), x(t) - x(0) - t(x(1) - x(0)), x(1))$$

15. Numerical minimization (cont.)

where $\gamma \in H^1(S_0^1, \mathcal{X}) \iff \gamma(0) = 0$.

Now, the constraints can be read as

$$X^G \cong \left\{ (x, \gamma, y) \in \mathcal{X} \times H^1(\mathbb{T}_0^1, \mathcal{X}) \times \mathcal{X} : y = gx \right\}$$

$$X^G \cong \left\{ (x, \gamma, y) \in \mathcal{X} \times H^1(\mathbb{T}_0^1, \mathcal{X}) \times \mathcal{X} : h_0x = x, h_1y = y \right\},$$

hence the action part is only in $x, y \in \mathcal{X}$. In both cases (cyclic and dihedral), the constraints can be written as fixed points of an involution of \mathcal{X}^2 :

$$\underbrace{(x, y) \mapsto (g^{-1}y, gx)}_{\text{cyclic type}}, \quad \underbrace{(x, y) \mapsto (h_0x, h_1y)}_{\text{dihedral type}}.$$

The part in γ can be now expanded in trigonometric sine-only series.

16. Approximating trajectories

We therefore approximate trajectories with pairs of configurations $(x, y) \in \mathcal{X}^2$ and k -uples of coefficients (in \mathcal{X}) for the Fourier expansion of $\gamma(t)$:

$$u(:, :, :) = (x, a_1, a_2, \dots, a_k, y), \quad x, y, a_i \in \mathcal{X} \subset \mathbb{R}^{nd},$$

that is $n \times d \times (k + 2)$ -dimensional arrays, and the group action is just given on $u(:, :, 0)$ and $u(:, :, k + 1)$.

The action is therefore approximated as

$$\mathcal{A}: \mathcal{X}^{k+2} \rightarrow \mathbb{R}, \quad \mathcal{A} = \underbrace{\mathcal{K}}_{\text{kinetic}} + \underbrace{\mathcal{U}}_{\text{potential}}.$$

The kinetic quadratic form \mathcal{K} (with or without rotating frame) can be easily computed in terms of the coefficients x, a_i, y . The potential part \mathcal{U} has to be approximated by numerical integration, with suitable mesh of points.

18. Methods

Given the approximated path encoding:

- (1) Create the starting path (random, linear, from an expression, ...)
- (2) Numerical minimization, with known suitable algorithms. Constrained to the linear subspace fixed by an orthogonal involution M , with projection $\frac{I+M}{2}$. Well known tested algorithms: conjugate gradient, Newton-Powell (with Finite-difference or analytic Jacobian), Secant Broyden's Update and Finite-difference Jacobian, IMSL routines, ...
- (3) Check and improve the result (shooting, multi-shooting, ...). Algebraic operations on paths, compute the action, the norm of the gradient, reshaping the array, ...
- (4) Communication/interface.

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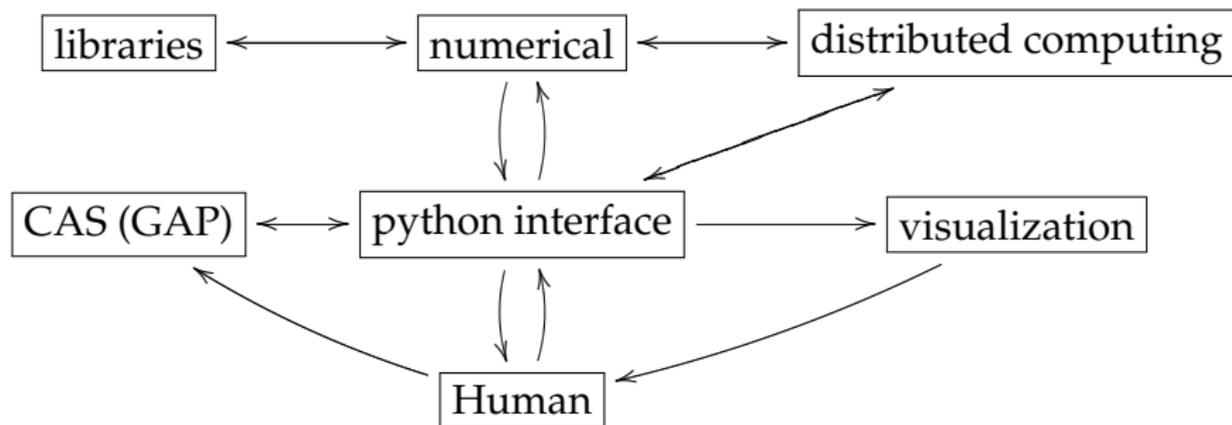
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19. Putting things together



20. Interfaces

To integrate symbolic, numeric and interactive visualization tools it is necessary to use different computing paradigms and different specific languages, glued together by some high-level general purpose language. As for SAGE (William Stein), the main focus is on *interfaces*, implemented in `python / ipython`.

- (1) Interactive interface with humans: `Interactive CLI + GAP + geomview/povray`.
- (2) Interface with numerical minimization engines: `f95/gf95/gfortran + small parts in C/C++ (interface generated by SWIG)`.
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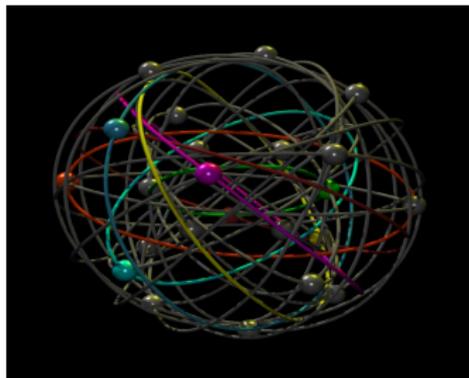
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21. Example: rotation symmetries



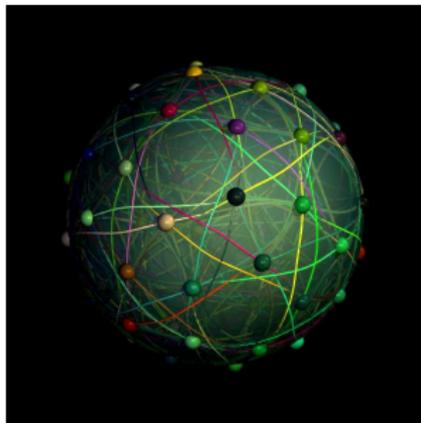
Animation: [▷]

Rendered: [▷]

$$-A_{\text{rot}} = A_1 = \begin{bmatrix} \frac{1}{2} & -\frac{\sqrt{3}}{2} & 0 \\ \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\sigma_1 = (1, 5, 9, 13, 17, 21)(2, 6, \dots)$$

$$A_1 = 6\text{-gonal rot.}; \sigma_{\text{rot}} = ()$$



Animation: [▷]

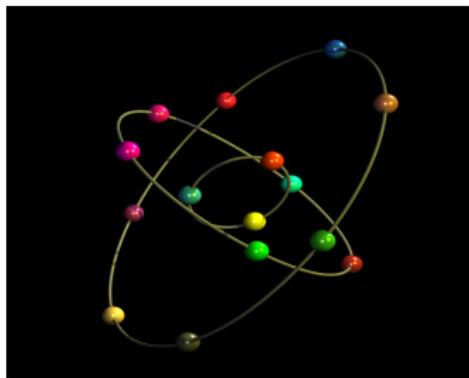
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$K = \text{Icosahedral group}$

$$Y \subset SO(3);$$

$$x(t + 1/2) = -x.$$

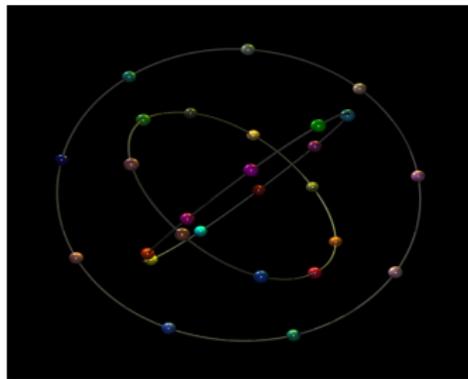
22. Example: sets of choreographies



Animation: [▷]

Rendered: [▷]

Constraints: $\sigma = (1, 2, 3)$
 $(4, 5, 6, 7, 8)$
 $(9, 10, 11, 12, 13, 14, 15),$
 $A = -I_3.$

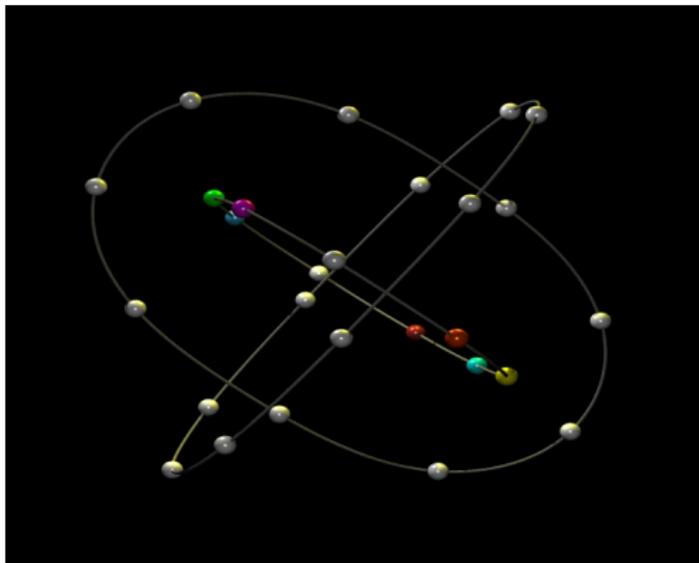


Animation: [▷]

Rendered: [▷]

Constraints: $\sigma =$
 $(1, 2, \dots, 9)$
 $(10, 11, \dots, 18)$
 $(19, 20, \dots, 27); A = -I_3.$

23. Galileo satellite constellation and Borromean rings



Animation: [▶], Rendered: [▶]

Constraints: cyclic part $\sigma = (1, 2, \dots, 9) (10, 11, \dots, 18) (19, 20, \dots, 27)$; $A = -I_3$ (as before); $K = \ker \tau$: $A_1 =$ rotation of order 3, $\sigma_1 = (1, 10, 19)(2, 11, 20)(3, 12, 21) \dots (9, 18, 27)$.

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- (2) Symmetries yield a rich set of examples (of loop spaces, periodic orbits, mountain-pass theorems, ...), on which apply given techniques. It is an example where computer algebra and numerical methods are both needed (at the same time).
- (3) The original goal was more general: study the infinite-dimensional Morse theory for the loop space on the configuration space for the n -body problem (Fadell-Husseini), and the algebraic topology of the corresponding Morse complex.
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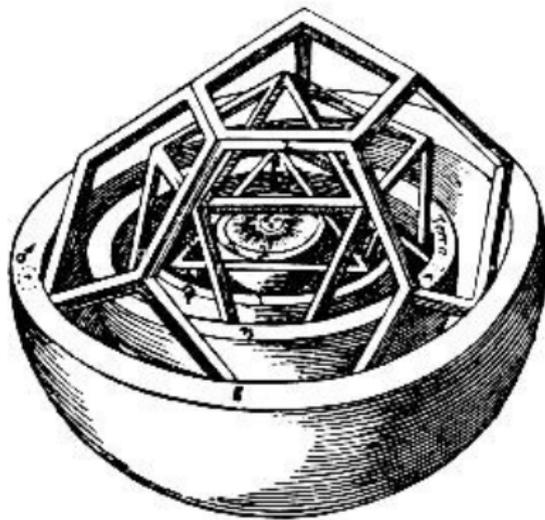
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25. Kepler model of the solar system (1596)



Thank you