

# Topological entropy for local processes

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DYNAMICS, TOPOLOGY AND COMPUTATIONS  
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## 1 Motivation

## 2 Definitions

- Processes
- Entropy for process
- Nonautonomous discrete dynamical systems
- Discretisation
- Entropy for PNDDS

## 3 Main theorems

- Equicontinuity
- Bounded oscillations

- (Szrednicki & Wójcik 1997) The equation

$$\dot{z} = (1 + e^{i\kappa t} |z|^2) \bar{z} \quad (1)$$

has positive topological entropy provided  $\kappa > 0$  is small enough.

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 There exists compact  $\Lambda \subset \mathbb{C}$  such that  $\varphi_{(0,T)}(\Lambda) = \Lambda$ . Then  $(\Lambda, \varphi_{(0,T)}|_{\Lambda})$  is discrete dynamical system.  $h(\varphi_{(0,T)}|_{\Lambda})$  can be computed.

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- (Pieniążek & Wójcik 2003) The equation

$$\dot{z} = [1 + (\cos(t^2) + 2)e^{i\kappa t}|z|^2] \bar{z}$$

has complicated dynamics provided that  $\kappa > 0$  is small enough.





Let  $(Y, d)$  be a metric space and  $\Omega \subset \mathbb{R} \times \mathbb{R} \times Y$  be an open set. By a *local process* on  $Y$  we mean a continuous map  $\varphi : \Omega \rightarrow Y$ , such that the following three conditions are satisfied:

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For abbreviation, we write  $\varphi_{(\sigma, t)}(x)$  instead of  $\varphi(\sigma, t, x)$ .



Let  $M$  be a smooth manifold and let  $v : \mathbb{R} \times M \longrightarrow TM$  be a time-dependent vector field. We assume that  $v$  is regular enough to guarantee that for every  $(t_0, x_0) \in \mathbb{R} \times M$  the Cauchy problem

$$\dot{x} = v(t, x), \quad (2)$$

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hence there is a one-to-one correspondence between  $T$ -periodic solutions of (2) and fixed points of the Poincaré map  $\varphi_{(0, T)}$ .



- Direct adaptation of Bowen's definition:

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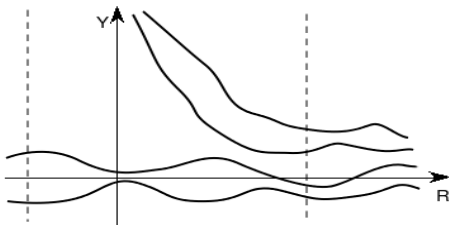
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- Blowing up solutions:



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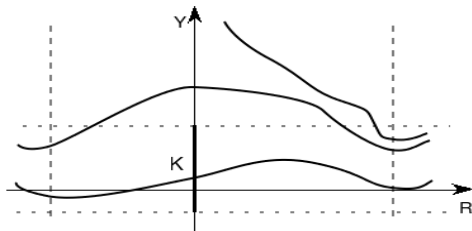
$$\Lambda_K^+(\varphi, s) = \left\{ x \in K : t_{(s,x)}^+ = +\infty \text{ and } \varphi(s, t, x) \in K \text{ for every } t \geq 0 \right\},$$

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Fix  $\varepsilon > 0$ ,  $s \in \mathbb{R}$ ,  $T > 0$ . We say that a subset  $E \subset K$  is a  $(s, T, \varepsilon, K, \varphi)$ -spanning set (with respect to the set  $K$ ) if for every  $y \in \Lambda_K(\varphi, s)$  there is  $x \in E$  such that

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The topological entropy of the process  $\varphi$  at  $s$  is the number  $h(\varphi, s) \in [0, +\infty]$  defined by

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(Cánovas & Rodríguez 2005)



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- We call  $(X_\infty, f_\infty)$  a *nonautonomous discrete dynamical system (NDDS)*.
- We say that a NDDS  $(X_\infty, f_\infty)$  is *proper (with respect to a metric space  $(Y, d)$ ) (denoted PNDDS)* iff for every  $i \in \mathbb{Z}$

$$X_i \subset Y \text{ and } d_i = d|_{X_i \times X_i} .$$





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The  $\Upsilon$ -*discretisation* of  $\varphi$  is the nonautonomous discrete dynamical system  $\varphi_\Upsilon = (X_\infty, f_\infty)$  given by

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- $\Upsilon$ -discretisation of  $\varphi$  is a proper nonautonomous discrete dynamical system with respect to  $Y$ .



Let  $i \in \mathbb{Z}$ ,  $(X_\infty, f_\infty)$  be a PNDDS with respect to  $Y$  and let  $K$  be a compact subset of  $Y$ . We define sets

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Let  $i \in \mathbb{Z}$ ,  $(X_\infty, f_\infty)$  be a PNDDS with respect to  $Y$  and let  $K$  be a compact subset of  $Y$ . We define sets

$$\Lambda_K^+(f_\infty, i) = \{x \in X_i \cap K : \text{the trajectory of } x \text{ is a subset of } K\}$$

$$\Lambda_K(f_\infty, i) = \{x \in X_i \cap K : x \text{ has a full trajectory contained in } K\}.$$

Fix  $\varepsilon > 0$ ,  $N \in \mathbb{N}$  and a compact set  $K \subset Y$ . We say that a subset  $E \subset K$  is a  $(i, N, \varepsilon, K, f_\infty)$ -spanning set (with respect to  $K$ ) if for every  $y \in \Lambda_K(f_\infty, i)$  there is  $x \in E$  such that  $d(f_i^n(x), f_i^n(y)) < \varepsilon$  for every integer  $n \in [0, N]$ .

If we replace  $\Lambda_K(f_\infty, i)$  by  $\Lambda_K^+(f_\infty, i)$  then we obtain the definition of a *positive*  $(i, N, \varepsilon, K, f_\infty)$ -spanning set.

We denote by  $S_{f_\infty}^+(i, N, \varepsilon, K)$  ( $S_{f_\infty}(i, N, \varepsilon, K)$ ) the minimal cardinal among all possible positive  $(i, N, \varepsilon, K, f_\infty)$ -spanning sets ( $(i, N, \varepsilon, K, f_\infty)$ -spanning sets respectively).



$$h_K(f_\infty, -\infty) = \lim_{\varepsilon \rightarrow 0^+} \limsup_{N \rightarrow \infty} \frac{\log S_{f_\infty}(0, N, \varepsilon, K)}{N}$$

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The topological entropy of  $(X_\infty, f_\infty)$  at  $i$  is the number  $h(f_\infty, i) \in [0, +\infty]$  defined by

$$h(f_\infty, i) = \sup \{ h_K(f_\infty, i) : K \text{ is a compact subset of } Y \}.$$





We say that a local process  $\varphi$  on a metric space  $(Y, d)$  is *locally equicontinuous* if for every compact set  $K \subset Y$ , every  $\varepsilon > 0$  and every  $T > 0$  there is  $\delta = \delta(K, \varepsilon, T) > 0$  such that for every  $\sigma \in \mathbb{R}$  and every  $x, y \in \Lambda_K^+(\varphi, \sigma)$  the following implication holds:

$$d(x, y) < \delta \implies \{d(\varphi(\sigma, t, x), \varphi(\sigma, t, y)) < \varepsilon \text{ for every } t \in [0, T]\}.$$

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Let  $n \geq 1$ ,  $n \in \mathbb{N}$  and  $v \in C^1(\mathbb{R} \times \mathbb{R}^n, \mathbb{R}^n)$ . If for every compact set  $K \subset \mathbb{R}^n$  there exists constant  $0 \leq L_v(K) < \infty$  such that

$$|D_x v(t, x)| \leq L_v(K) \text{ for every } (t, x) \in \mathbb{R} \times K,$$

then the local process  $\varphi$  generated by

$$\dot{x} = v(t, x)$$

is locally equicontinuous.



We say that a strictly increasing and unbounded sequence  $\Upsilon = \{t_i\}_{i \in \mathbb{Z}} \subset \mathbb{R}$  is *forward syndetic* if there exist  $k \in \mathbb{Z}$  and  $N > 0$  such that  $t_{m+1} - t_m < N$  for every  $m > k$ .

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### Theorem 1

Let  $\varphi$  be a locally equicontinuous local process on a metric space  $(Y, d)$  and  $\Upsilon = \{t_i\}_{i \in \mathbb{Z}}$  be a forward syndetic sequence such that

$$\liminf_{n \rightarrow \infty} \frac{t_n}{n} \geq \alpha$$

holds for some  $\alpha \in [0, \infty]$ . Then the inequality

$$h(\varphi_\Upsilon, i) \geq \alpha h(\varphi, t_i)$$

is satisfied for every  $i \in \mathbb{Z} \cup \{-\infty, \infty\}$

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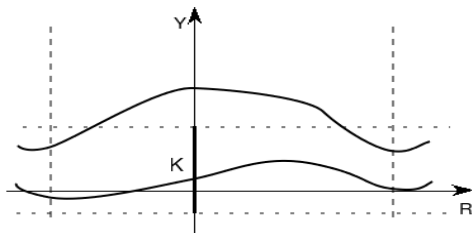


It follows by definition that

$$\Lambda_K^+(\varphi, t_i) \subset \Lambda_K^+(\varphi_T, i).$$

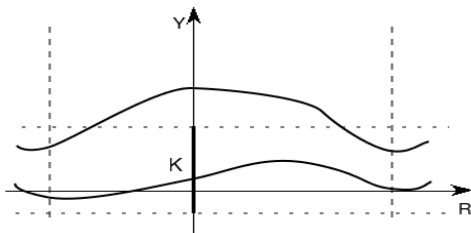
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For a given  $K$  we need a compact set  $M$  such that

$$\Lambda_K^+(\varphi_T, i) \subset \Lambda_M^+(\varphi, t_i),$$

$$\Lambda_K(\varphi_T, i) \subset \Lambda_M(\varphi, t_i).$$



We say that a local process  $\varphi$  on a metric space  $(Y, d)$  has *bounded oscillations* if there exists a sequence of compact subsets  $\{M_j\}_{j=1}^{\infty}$  of  $Y$  such that

$$M_j \subset M_{j+1} \text{ for every } j \text{ and } Y = \bigcup_{i=0}^{\infty} M_i$$

and for every compact set  $K \subset Y$  there exists  $j$  such that  $K \subset M_j$  and for every  $\sigma \in \mathbb{R}$  and  $x \in K$  such that  $t_{(\sigma, x)}^+ = +\infty$  one of the following conditions hold:

$\varphi(\sigma, s, x) \in M_j$  for all  $s > 0$  or

if  $\varphi(\sigma, t, x) \notin M_j$  for some  $t > 0$  then  $\varphi(\sigma, s, x) \notin M_j$  for all  $s > t$ .



## Theorem 2

Let  $\varphi$  be a local process with bounded oscillations (defined on a metric space  $(Y, d)$ ) and let  $\Upsilon = \{t_i\}_{i \in \mathbb{Z}} \subset \mathbb{R}$  be a strictly increasing unbounded sequence such that the condition

$$\limsup_{n \rightarrow \infty} \frac{t_n}{n} \leq \alpha$$

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Thank You for Your attention